

47968

10/518230  
Rec'd PCT/PTC 17 DEC 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : PATENT  
Mark Graeme Keen :  
Serial No.: : Art Unit:  
Filed: Herewith : Examiner:  
For: DEVICE FOR HEAT EXCHANGE :  
BETWEEN FLOWABLE MEDIA :

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, Applicant requests that the patents (copies of non-U.S. patents enclosed), listed on the attached form and cited in the attached International Search Report and/or the application, be made of record in the above-identified application.

Respectfully submitted,



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Dated: Dec. 17, 2004

Substitute for form 1449/PTO

**(Use as many sheets as necessary)**

**Complete if Known**

if Known 107518230

Application Number

Filing Date

Herewith

**First Named Inventor**

Mark Graeme Keen

**Art Unit**

**Examiner Name**

Attorney Docket Number	47968
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Sheet

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of

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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		DE 41 06 963 A1	09-10-1992	Rahmer & Jansen GmbH		
		DE 42 32 366 A1	03-31-1994	Langerer & Reich GmbH		
		DE 196 51 988 A1	06-19-1997	Caterpillar Inc.		
		WO 01/31264 A2	05-03-2001	Duramax Marine, LLC		

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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